## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DUMAS, Jacques, et al.

Serial No.: 09/838,286

Group Art Unit: 1614

Filed: 4/20/01

Examiner: William R.A. Jarvis

For: HETEROARYL UREAS CONTAINING NITROGEN HETERO-ATOMS AS p38 KINASE

**INHIBITORS** 

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, 1.97 and 1.98

Assistant Commissioner for Patents Washington, D. C. 20231

Sir:

Applicant(s) hereby disclose the information listed in the attached form PTO-1449.

No fee is required in that this IDS is submitted with an RCE.

Copies of the search reports and notices of references cited have been provided as well as copies of the claims in the copending applications identified below. Copies of the claims have also been provided on the accompanying compact disc.

A copy of the Examination Report in the corresponding International Application has been provided.

Pursuant to 37 CFR §1.97 and §1.98, Applicant identifies the following related copending applications.

Title: Inhibition of RAF Kinase Activity Using Aryl Ureas

Inventor(s): Jill Wood et al.

Filed January 8, 2001, Serial No. 09/755,060

Status: Published

Claims pending as of 6/23/03 attached

Title: RAF Kinase Inhibitors Inventor(s): Jill Wood et al.

Filed May 21, 1998, Serial No. PCT/US98/10376

No U.S. National Phase

Title: Inhibition of p38 Kinase Activity by Aryl Ureas

Inventor(s): Gerald Ranges et al.

Filed September 7, 2001, Serial No. 09/947,761

#### Claims pending as of 6/23/03 attached

Title: Inhibition of p38 Kinase by Aryl Ureas

Inventor(s): Gerald Ranges et al.

Filed May 21, 1998, Serial No. PCT/US98/10375

No U.S. National Phase

Title: Inhibition of RAF Kinase Using Symmetrical and

Unsymmetrical

Substituted Diphenyl Ureas Inventor(s): Scott Miller et al.

Filed December 22, 1998, Serial No. 09/776,936

Claims pending as of 6/23/03 attached

Title: Inhibition of RAF Kinase Using Symmetrical and

Unsymmetrical

Substituted Diphenyl Ureas Inventor(s): William Scott et al.

Filed December 22, 1998, PCT/US98/26081

No U.S. National Phase

Title: Inhibition of RAF Kinase Using Substituted Heterocyclic Ureas

Inventor(s): Jacques Dumas et al. Filed: August 18, 2000, Serial No. 09/640,780

Claims pending as of 6/23/03 attached

Title: Inhibition of RAF Kinase Using Substituted Heterocyclic Ureas

Inventor(s): Jacques Dumas et al.

Filed December 22, 1998, PCT/US98/26078

No U.S. National Phase

Title: Inhibition of RAF Kinase Using Aryl and Heteroaryl Substituted

Heterocyclic Ureas

Inventor(s): William Scott et al.

Filed December 27, 1998, Serial No. 09/472,232

Claims pending as of 6/23/03 attached

Title: Inhibition of RAF Kinase Using Aryl and Heteroaryl Substituted

Heterocyclic Ureas

Inventor(s): Jacques Dumas et al.

Filed December 22, 1998, PCT/US98/26082

No. U.S. National Phase

Title: Inhibition of P38 Kinase Activity Using Symmetrical and

Unsymmetrical Diphenyl Ureas Inventor(s): Scott Miller et al. Filed February 1, 2002, Serial No. 10/060,396 Claims pending as of 6/23/03 attached

Title: Inhibition of p38 Kinase Using Symmetrical and Unsymmetrical

Diphenyl Ureas

Inventor(s): Scott Miller et al.

Filed: December 22, 1998, PCT/US98/27265

No U.S. National Phase

Title: Inhibition of P38 Kinase Using Substituted Heterocyclic Ureas

Inventor(s): Jacques Dumas et al.

Filed December 10, 1999, Serial No. 09/458,014

Claims pending as of 6/23/03 attached

Title: Inhibition of p38 Kinase Activity Using Substituted Heterocyclic

Ureas

Inventor(s): Jacques Dumas et al.

Filed December 22, 1998, PCT/US98/26080

No U.S. National Phase

Title: Inhibition of p38 Kinase Activity Using Aryl and Heteroaryl

Substituted Heterocyclic Ureas Inventor(s): Jacques Dumas et al.

Filed December 22, 1998, Serial No. 09/776,935

Claims pending as of 6/23/03 attached

Title: Inhibition of p38 Kinase Activity Using Aryl and Heteroaryl

Substituted Heterocyclic Ureas Inventor(s): Jacques Dumas et al.

Filed: December 22, 1998, PCT/US98/26079

No U.S. National Phase

Title: Inhibition of RAF Kinase Quinolinyl, Isoquinolinyl or Pyridyl

Ureas

Inventor: Jacques Dumas et al.

Filed April 19, 2002, Serial No. 10/125,369 Claims pending as of 6/23/03 attached

Title: Inhibition of RAF Kinase Quinolinyl, Isoquinolinyl or Pyridyl

Ureas

Inventor: Jacques Dumas et al.

Filed April 19, 2002, Serial No. PCT/US02/12066

No U.S. National Phase

Title: Heteroaryl Ureas Containing Nitrogen Hetero-Atoms As p38

Kinase Inhibitors

Inventor: Jacques Dumas et al.

Filed April 20, 2001, Serial No. 09/838,286 Claims pending as of 6/23/03 attached

Title: Heteroaryl Ureas Containing Nitrogen Hetero-Atoms As p38

Kinase Inhibitors

Inventor: Jacques Dumas et al.

Filed April 18, 2002, Serial No. PCT/US02/12064

No U.S. National Phase

Title: ω-Carboxyaryl Substituted Diphenyl Ureas As RAF Kinase

Inhibitors Inventor: Bernd Riedl et al.

Filed: September 10, 2001, Serial No. 09/948,915

Claims pending as of 6/23/03 attached

Title: ω-Carboxyaryl Substituted Diphenyl Ureas As RAF Kinase

Inhibitors

Inventor: Bernd Riedl et al.

Filed: January 12, 2001, Serial No. PCT/US00/00648

National Phase Serial No. 09/889,227 (pending as of 6/23/03)

Title: Inhibition of Raf Kinase Using Quinolinyl, Isoquinolinyl and

Pyridinyl Ureas

Inventor: Jacques Dumas et al.

Filed February 7, 2001, Serial No. 09/777,920

Claims pending as of 6/23/03 attached

Title: Inhibition of Raf Kinase Using Quinolinyl, Isoquinolinyl

and Pyridinyl Ureas

Inventor: Jacques Dumas et al.

Filed: January 7, 2002, Serial No. PCT/US02/03361

No U.S. National Phase

Title: Method and/or Process for Preparing w-Carboxyaryl Substituted

Diphenyl Ureas as raf Kinase Inhibitors

Filed February 11, 2002, Serial No. 10/071,248

Claims pending as of 6/23/03 attached

Title: Omega-Carboxy Aryl Substituted Diphenyl Ureas as p38 Kinase

**Inhibitors** 

Inventor: Bernd Riedl et al. Filed March 4, 2002, Serial No.10/086,417 Claims pending as of 6/23/03 attached

Title: Aryl Ureas With Angiogenisis Inhibiting Activity Inventor: Jacques Dumas, et. al. Filed February 11, 2003, Serial No.10/361,858 Claims pending as of 6/23/03 attached

Title: ω-Carboxyaryl Substituted Diphenyl Ureas as RAF Kinase Inhibitors
Inventor(s): Riedl et al.
Filed November 27, 2001, Serial No. 09/993,647
Claims pending as of 6/23/03 attached

Title:  $\omega$ -Carboxyaryl Substituted Diphenyl Ureas As RAF Kinase Inhibitors Inventor(s): Riedl et al. Filed January 11, 2002, Serial No. 10/242,226 Claims pending as of 6/23/03 attached

Title:  $\omega$ -Carboxyaryl Substituted Diphenyl Ureas As RAF Kinase Inhibitors

Inventor: Riedl et al.

Filed: July 19, 2001, Serial No. 09/907,970 Claims pending as of 6/23/03 attached

Title: ω-Carboxyaryl Substituted Diphenyl Ureas As RAF Kinase

Inhibitors

Inventor: Riedl et al.

Filed: October 30, 2002, Serial No. 10/283,248

Claims pending as of 6/23/03 attached

Title: Aryl Urea Compounds In Combination with Other Cytostatic or Cytotoxic Agents ForTreating Human Cancers
Inventor: Carter et al
Filed December 3, 2002, S.N. 10/308 187

Filed December 3, 2002, S.N. 10/308,187 Claims pending as of 6/23/03 attached

Title: Aryl Ureas As Kinase Inhibitors

Inventor: Jacques Dumas.

Filed: February, 11, 2003, S.N. 10/361,859 Claims pending as of 6/23/03 attached

Title: Aryl Ureas With raf Kinase and Angiogenisis Inhibiting Activity Inventor: Jaques Dumas Filed: February, 11, 2003, S.N. 10/361,844 Claims pending as of 6/23/03 attached

Title:Pyridine, Quinoline and Isoquinoline N-oxides as Kinase

Inhibitors Inventor: Jacques Dumas Filed: February, 11, 2003, S.N. 10/361,850 Claims pending as of 6/23/03 attached

### **FEES**

No fee is believed due.

## **DEPOSIT ACCOUNT**

The Commissioner is hereby authorized to charge any further fees under 37 CFR § 1.16 and § 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402.

Respectfully submitted,

Richard J. Traverso (Reg. No. 30,595)

Attorney/Agent for Applicants

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Attorney Docket No.: BAYER 25A

Date: July 9, 2003

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known			
Application Number	09/838,286		
Filing Date	4-20-01		
First Named Inventor	DUMAS, Jacques, et. al.	_	
Group Art Unit	1614		
Examiner Name	William R. A. Jarvis		
Attorney Docket Number	BAYER-14		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
	<u> </u>		
		Dumas, J "CAS Structure," May 6, 1997, pages 1-29	
	}	Scott,Bill, "Substructure (Patent Families)", August 11, 1997, pages 1-19.	
		Scott, Bill, "Substructure #2", November 25, 1997, pages 1-3.	
		"Beilstein number" Collection, 28 pages (1997)	
		"Beilstein Collection", 4 pages (1997)	
		Scott, Bill, "Substructure Search", December 2, 1997, pages 1-51.	
		Substructure Search, pages 1-30. (1997)	
		Derwent World Patents Index Search, pages 20-26. (1997)	
		A "Notice of References Cited" from the USPTO for U.S. application 08/995,749.	
		A "Notice of References Cited" from the USPTO for U.S. application 09/083,399.	
		A "Notice of References Cited" from the USPTO for U.S. application 09/425,228.	
		A "Notice of References Cited" from the USPTO for U.S. application 09/425,229.	
		A "Notice of References Cited" from the USPTO for U.S. application 09/458,015.	
		A "Notice of References Cited" from the USPTO for U.S. application 09/472,232.	
		A "Notice of References Cited" from the USPTO for U.S. application 09/472,232.	
		A "Notice of References Cited" from the USPTO for U.S. application 09/773,604.	
		A "Notice of References Cited" from the USPTO for U.S. application 09/773,659.	
	<del>                                     </del>	A "Notice of References Cited" from the USPTO for U.S. application 09/773,672.	
		A "Notice of References Cited" from the USPTO for U.S. application 09/773,675.	

Examiner	Date	
Signature	Considered	

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complet if Known 09/838,286 Application Number Filing Date April 20, 2001 Jacques DUMAS et al. First Named Inventor Group Art Unit 1614 William R.A. Jarvis **Examiner Name** 

(use as many sheets as necessary)

of 3 BAYER 14 Sheet \_\_\_\_2 Attorney Docket Number

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	AM	A "Notice of References Cited" from the USPTO for U.S. application 09/776,935.		
	AN	A "Notice of References Cited" from the USPTO for U.S. application 09/776,936.		
	AO	A "Notice of References Cited" from the USPTO for U.S. application 09/777,920.		
	AP	A "Notice of References Cited" from the USPTO for U.S. application 09/948,915.		
	AQ	A "Notice of References Cited" from the USPTO for U.S. application 10/042,226.		
	AR	A "Notice of References Cited" from the EPO fro European application EP 98/963809.		
	AS	Supplemental search report from the EPO for European application EP 98/963810.		
	AT	Supplemental search report from the EPO for European application EP 98/965981.		
	AU	Supplemental search report from the EPO for European application EP 00/903239.		
	ВА	International search report for International Application No. PCT/US98/10375.		
	ВВ	International search report for International Application No. PCT/US98/10376.		

Examiner	Date	
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO		Complete if Known			
			Application Number	09/838,286	
			CLOSURE	Filing Date	April 20, 2001
S1	STATEMENT BY APPLICANT		First Named Inventor	Jacques DUMAS et al.	
				Group Art Unit	1614
	(use as man	y sheets as	necessary)	Examiner Name	William R.A. Jarvis
She	<del></del>	of	3	Attorney Docket Number	BAYER 14

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	вс	International search report for International Application No. PCT/US98/26078.	
	BD	International search report for International Application No. PCT/US98/26079.	
	BE	International search report for International Application No. PCT/US98/26080.	
	BF	International search report for International Application No. PCT/US98/26081.	
	BG	International search report for International Application No. PCT/US98/26082.	
:	вн	International search report for International Application No. PCT/US98/24265.	
	ВІ	International search report for International Application No. PCT/US00/00648.	
	BJ	International search report for International Application No. PCT/US00/00768.	
	вк	International search report for International Application No. PCT/US02/12064.	
	BL	International search report for International Application No. PCT/US02/12066.	
	ВМ	International search report for International Application No. PCT/US/26081.	

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Examiner	Date	
1	Considered	
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